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Enumeration Without Repetition

HILARY PUTNAM

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ABSTRACT: An example is constructed of a recursively enumerable family of recursively enumerable sets which can not be recursively enumerated without repetitions.

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ENUMERATION WITHOUT REPETITION

Hilary Putnam

The purpose of this note is to answer the question¹: "Can every recursively enumerable family of recursively enumerable sets² be enumerated without repetition³?" This question was, of course, stimulated by Friedberg's very surprising result that the recursively enumerable sets can be enumerated without repetition⁴. We answer the question in the negative by the following construction.

We define a family of recursively enumerable sets A_0, A_1, A_2, \dots , as follows:

- (i) $i \in A_i$ for all i
- (ii) $2y \in A_{2y+1}$ if $y \in S$
- (iii) $2y + 1 \in A_{2y}$ if $y \in S$
- (iv) Nothing belongs to A_i , unless its doing so follows from (i)-(iii)

---where S is any recursively enumerable set whose complement is not recursively enumerable. Then the set A_i is recursively enumerable. (In fact, A_i has either one or two members for every i), and the relation $i \in A_j$ is also recursively enumerable, so that F is a recursively enumerable family of recursively enumerable sets. (To verify this, observe that $i \in A_j \equiv i = j \vee (\exists z) (i = 2z \wedge j = 2z + 1 \wedge z \in S) \vee (\exists z) (j = 2z \wedge i = 2z + 1 \wedge z \in S)$. Only existential quantifiers occur in the definition (and conjunction,

disjunction, and recursively enumerable predicates); hence the predicate $i \in A_j$ is recursively enumerable). However, the family F cannot be enumerated without repetition! For suppose it could be, say as B_0, B_1, \dots where the predicate $i \in B_j$ is recursively enumerable, and $B_i \neq B_j$ for $i \neq j$. Then we could define:

$$(1) \quad y \in \bar{S} \equiv (Ex)(Ez)(2y \in B_x \not\subset 2y+1 \in B_z \not\subset x \neq z),$$

and hence the complement of S would be definable in terms of recursively enumerable predicates using conjunction and existential quantification alone, and so would be recursively enumerable, contrary to the choice of S as a recursively enumerable set whose complement is not recursively enumerable (i.e., a non-recursive recursively enumerable set).

To verify (1) observe that if $y \in S$, then

$A_{2y} = A_{2y+1} = \{2y, 2y+1\}$ and no other A_j contains either $2y$ or $2y+1$; so in this case the numbers $2y, 2y+1$ belong to one and the same of the sets A_0, A_1, \dots (note, however, that the set in question ... i.e. $\{2y, 2y+1\}$... is repeated in the enumeration A_0, A_1, \dots). And if $y \in \bar{S}$, then $A_{2y} = \{2y\}$ and $A_{2y+1} = \{2y+1\}$: so in this case the numbers $2y, 2y+1$ belong to different sets A_i . An enumeration without repetition of the sets A_i would give a proof-procedure for showing that two numbers belong to different sets A_i (since a, b belong to different sets $A_i \equiv a, b$ belong to sets B_i with different indices), and hence a way of enumerating the complement of S ; it is for this reason that such an enumeration cannot exist.

Footnotes

- 1 This question was posed by Marion Pour-El.
- 2 A family of sets is called a recursively enumerable family of recursively enumerable sets if the diadic relation $i \in A_j$ is recursively enumerable for at least one ordering A_0, A_1, \dots of the family (with or without repetition). By Kleene's " S_n^m " theorem ([2], p. 342) this is equivalent to saying that there is a recursive or even primitive recursive, function t such that $t(0), t(1), \dots$ are gödel numbers of A_0, A_1, \dots respectively, with respect to the standard gödel numbering of all recursively enumerable sets.
- 3 The enumeration A_0, A_1, \dots of a family of sets F is an "enumeration without repetition" just in case (1) the diadic relation $i \in A_j$ is recursively enumerable, and (2) $i \neq j \neq A_i \neq A_j$.
- 4 Vide [1].

REFERENCES

- [1] Richard M. Friedberg, Three theorems on recursive enumeration, *Journal of Symbolic Logic*, vol. 23, (1958), pp. 309-316.
- [2] Stephen Cole Kleene, *Introduction to metamathematics*, New York, 1952.

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